

Semantics of FOPL and the lambda calculus

Simon Charlow (simon.charlow@rutgers.edu)

October 14, 2015

1 FOPL syntax refresher

Vocabulary:

- **Terms: individual constants** like *bob* and *sam*, plus an infinite stock of **variables**: x, y, z, \dots
- A collection of n -ary **predicates**: *runs, likes, gave, \dots*
- The propositional logic **connectives**: $\neg, \wedge, \vee, \Rightarrow$. Plus punctuation: $., (, \text{and })$.
- An **existential quantifier** \exists , and a **universal quantifier** \forall .

Complex formulas. The WFF of propositional logic is the smallest set such that:

- Predicates applied to the appropriate number of terms are in WFF. E.g., *left* x , *saw*(*bob*, y), \dots . These are the atomic formulas.
- If φ is in WFF, then $\neg\varphi$ is in WFF.
- If φ and ψ are in WFF, then $(\varphi \wedge \psi)$, $(\varphi \vee \psi)$, and $(\varphi \Rightarrow \psi)$ are all in WFF.
- If φ is in WFF, then $(\exists v. \varphi)$ and $(\forall v. \varphi)$ are in WFF, for any variable v .

As before, we adopt the convention of omitting outermost parentheses. I also like to omit parentheses when doing so doesn't create ambiguity, but whether you do so is up to you.

2 FOPL semantics

As in propositional logic, we need a way to assign values to variables. This time, however, the variables denote things of type e :

- $\llbracket v \rrbracket^g = gv$

Proper names and predicates will be valued by $\llbracket \cdot \rrbracket^g$ in the way we've done in class (though notice that the meaning of relations is given as the characteristic function of a set of ordered pairs):

- $\llbracket bob \rrbracket^g = \mathbf{B}$
- $\llbracket left \rrbracket^g = \lambda x. \text{LEFT } x$
- $\llbracket likes \rrbracket^g = \lambda(x, y). \text{LIKES}(x, y)$
- \dots

Predications are evaluated by finding the values of the predicate and its arguments, and then applying the former to the latter:

- $\llbracket P v \rrbracket^g = \llbracket P \rrbracket^g \llbracket v \rrbracket^g$
- $\llbracket R(v, u) \rrbracket^g = \llbracket R \rrbracket^g(\llbracket v \rrbracket^g, \llbracket u \rrbracket^g)$
- ...

The meanings of the connectives are unchanged from propositional logic:

- $\llbracket \neg \varphi \rrbracket^g = 1 - \llbracket \varphi \rrbracket^g$
- $\llbracket \varphi \wedge \psi \rrbracket^g = \text{Min} \{ \llbracket \varphi \rrbracket^g, \llbracket \psi \rrbracket^g \}$
- $\llbracket \varphi \vee \psi \rrbracket^g = \text{Max} \{ \llbracket \varphi \rrbracket^g, \llbracket \psi \rrbracket^g \}$
- $\llbracket \varphi \Rightarrow \psi \rrbracket^g = \text{Max} \{ \llbracket \neg \varphi \rrbracket^g, \llbracket \psi \rrbracket^g \}$

Finally, the meanings of the quantifiers rely on *assignment modification*:

- $\llbracket \exists v. \varphi \rrbracket^g = \text{Max} \{ \llbracket \varphi \rrbracket^{g[v \rightarrow a]} : a \in e \}$
- $\llbracket \forall v. \varphi \rrbracket^g = \text{Min} \{ \llbracket \varphi \rrbracket^{g[v \rightarrow a]} : a \in e \}$

Relies on **minimal assignment modification**:

- $g[v \rightarrow a]$ is the assignment h such that $h v = a$, and for any $u \neq v$, $h u = g u$.

Mnemonicly, you can think of $g[v \rightarrow a]$ as “the assignment mapping v to a , but otherwise just like g ”.

Essentially, existential quantification is like a huge disjunction (if **a or b or c or ...** makes φ true, then $\exists v. \varphi$ is true), and universal quantification is like a huge conjunction (if **a and b and c and ...** make φ true, then $\forall v. \varphi$ is true).

3 Examples

Unrestricted quantification. There is a man:

$$\begin{aligned} \llbracket \exists x. \text{man } x \rrbracket^g &= \text{Max} \{ \llbracket \text{man } x \rrbracket^{g[x \rightarrow a]} : a \in e \} \\ &= \text{Max} \{ \llbracket \text{man} \rrbracket^{g[x \rightarrow a]} \llbracket x \rrbracket^{g[x \rightarrow a]} : a \in e \} \\ &= \text{Max} \{ \text{MAN} \llbracket x \rrbracket^{g[x \rightarrow a]} : a \in e \} \\ &= \text{Max} \{ \text{MAN } a : a \in e \} \end{aligned}$$

The set $\{\text{MAN } y : y \in e\}$ will contain a 1 iff we can find at least one man in the domain of individuals. Otherwise, it will only contain 0. Therefore, the truth condition is that at least one individual is a man. Here, for completeness, are the three possible outputs:

- $\{0\}$ (no men exist; Max returns 0)
- $\{1\}$ (only men exist; Max returns 1)
- $\{0, 1\}$ (both men and non-men exist; Max returns 1)

Adding a negation would, of course, resulting in flipping whatever value is returned.

And similarly for the universal. Everything is a man:

$$\begin{aligned}
\llbracket \forall x. \text{man } x \rrbracket^g &= \text{Min} \{ \llbracket \text{man } x \rrbracket^{g[x \rightarrow a]} : a \in e \} \\
&= \text{Min} \{ \llbracket \text{man} \rrbracket^{g[x \rightarrow a]} \llbracket x \rrbracket^{g[x \rightarrow a]} : a \in e \} \\
&= \text{Min} \{ \text{MAN} \llbracket x \rrbracket^{g[x \rightarrow a]} : a \in e \} \\
&= \text{Min} \{ \text{MAN } a : a \in e \}
\end{aligned}$$

This, this set will contain a 0 iff we can find at least one non-man in the domain of individuals. Otherwise, it will only contain 1. Therefore, the truth condition is that every individual is a man. Here are the three possible outputs:

$$\begin{aligned}
&\{0\} \quad (\text{no men exist; Min returns } 0) \\
&\{1\} \quad (\text{only men exist; Min returns } 1) \\
&\{0, 1\} \quad (\text{both men and non-men exist; Min returns } 0)
\end{aligned}$$

Restricted quantification. Bob met a linguist:

$$\begin{aligned}
\llbracket \exists z. \text{linguist } z \wedge \text{met}(\text{bob}, z) \rrbracket^g &= \text{Max} \{ \llbracket \text{linguist } z \wedge \text{met}(\text{bob}, z) \rrbracket^{g[z \rightarrow a]} : a \in e \} \\
&= \text{Max} \{ \text{Min} \{ \llbracket \text{linguist } z \rrbracket^{g[z \rightarrow a]}, \llbracket \text{met}(\text{bob}, z) \rrbracket^{g[z \rightarrow a]} \} : a \in e \} \\
&= \text{Max} \{ \text{Min} \{ \text{LINGUIST } a, \text{MET}(\text{BOB}, a) \} : a \in e \}
\end{aligned}$$

Suppose there's a linguist who Bob met. Call her ℓ . Then $\text{Min} \{ \text{LINGUIST } \ell, \text{MET}(\text{BOB}, \ell) \} = \text{Min} \{ 1 \} = 1$, and Max will necessarily return 1. If we can find no such individual, $\text{Min} \{ \text{LINGUIST } x, \text{MET}(\text{BOB}, x) \}$ will always return 0, and so Max will necessarily return 0.

Multiple quantification. Something is near something:

$$\begin{aligned}
\llbracket \exists x. \exists y. \text{near}(x, y) \rrbracket^g &= \text{Max} \{ \llbracket \exists y. \text{near}(x, y) \rrbracket^{g[x \rightarrow a]} : a \in e \} \\
&= \text{Max} \{ \text{Max} \{ \llbracket \text{near}(x, y) \rrbracket^{g[x \rightarrow a][y \rightarrow b]} : b \in e \} : a \in e \} \\
&= \text{Max} \{ \text{Max} \{ \text{NEAR}(a, b) : b \in e \} : a \in e \}
\end{aligned}$$

Suppose we can find something near something else. Call those things, respectively, ℓ_1 and ℓ_2 . Then there will be at least one true member of $\text{Max} \{ \text{NEAR}(a, b) \}$, namely $\text{NEAR}(\ell_1, \ell_2)$, and there will thus be a true member of $\text{Max} \{ \text{Max} \{ \text{NEAR}(a, b) : b \in e \} : a \in e \}$, namely $\text{Max} \{ \text{NEAR}(\ell_1, \ell_2) \}$. In which case the value ultimately returned is 1. If we can find no such ℓ_1 and ℓ_2 , the inner and outer Max's will both return 0.

4 Semantics of the lambda calculus

By cases:

- $\llbracket v \rrbracket^g = gv$ if v is a variable
- $\llbracket M N \rrbracket^g = \llbracket M \rrbracket^g \llbracket N \rrbracket^g$
- $\llbracket \lambda v. \varphi \rrbracket^g =$ the function f such that for any a , $f a = \llbracket \varphi \rrbracket^{g[v \rightarrow a]}$

Proper names and predicates will be valued by $\llbracket \cdot \rrbracket^g$ in the way we've done in class (same as FOPL):

- $\llbracket \text{bob} \rrbracket^g = \mathbf{B}$

- $\llbracket \text{left} \rrbracket^g = \lambda x. \text{LEFT } x$, i.e. (by η -equivalence), LEFT
- $\llbracket \text{likes} \rrbracket^g = \lambda(x, y). \text{LIKES}(y, x)$
- ...

A simple case. x left:

$$\begin{aligned} \llbracket \text{left } x \rrbracket^g &= \llbracket \text{left} \rrbracket^g \llbracket x \rrbracket^g \\ &= \text{LEFT}(g x) \end{aligned}$$

An abstraction. The function mapping x to 1 iff x left:

$$\begin{aligned} \llbracket \lambda x. \text{left } x \rrbracket^g &= \text{the } f \text{ such that } f a = \llbracket \text{left } x \rrbracket^{g[x \rightarrow a]} \\ &= \text{the } f \text{ such that } f a = \llbracket \text{left} \rrbracket^{g[x \rightarrow a]} \llbracket x \rrbracket^{g[x \rightarrow a]} \\ &= \text{the } f \text{ such that } f a = \text{LEFT} \llbracket x \rrbracket^{g[x \rightarrow a]} \\ &= \text{the } f \text{ such that } f a = \text{LEFT } a \end{aligned}$$

Combining lambdas with FOPL. The function mapping x to 1 iff there's something x is near:

$$\begin{aligned} \llbracket \lambda x. \exists y. \text{NEAR}(x, y) \rrbracket^g &= \text{the } f \text{ s.t. } f a = \llbracket \exists y. \text{near}(x, y) \rrbracket^{g[x \rightarrow a]} \\ &= \text{the } f \text{ s.t. } f a = \text{Max} \{ \llbracket \text{near}(x, y) \rrbracket^{g[x \rightarrow a][y \rightarrow b]} : b \in e \} \\ &= \text{the } f \text{ s.t. } f a = \text{Max} \{ \text{NEAR}(a, b) : b \in e \} \end{aligned}$$

Given an a , this function returns 1 iff there's some b that a is near.

To emphasize, we will be using the λ -calculus and FOPL as part of our semantic **metalanguage**. In other words, we won't bother with interpreting them: we'll simply use them to specify the model-theoretic denotations of expressions. The reason to bother with understanding their semantics is that they turn out to be quite useful for interpreting *natural* language!

Alpha-equivalence.

$$\begin{aligned} \llbracket \lambda x. \text{left } x \rrbracket^g &= \text{the } f \text{ s.t. } f a = \llbracket \text{left } x \rrbracket^{g[x \rightarrow a]} \\ &= \text{the } f \text{ s.t. } f a = \text{LEFT } a \\ \llbracket \lambda y. \text{left } y \rrbracket^g &= \text{the } f \text{ s.t. } f a = \llbracket \text{left } y \rrbracket^{g[y \rightarrow a]} \\ &= \text{the } f \text{ s.t. } f a = \text{LEFT } a \end{aligned}$$

Multiple modification.

$$\begin{aligned} \llbracket \lambda x. \lambda x. \text{likes}(x, x) \rrbracket^g &= \text{the } f \text{ s.t. } f a = \llbracket \lambda x. \text{likes}(x, x) \rrbracket^{g[x \rightarrow a]} \\ &= \text{the } f \text{ s.t. } f a = \text{the } g \text{ s.t. } g b = \llbracket \text{likes}(x, x) \rrbracket^{g[x \rightarrow a][x \rightarrow b]} \\ &= \text{the } f \text{ s.t. } f a = \text{the } g \text{ s.t. } g b = \text{LIKES}(b, b) \end{aligned}$$

In other words, $f a b = \text{LIKES}(b, b)$; the lower λx takes precedence, and the first argument is thrown out. The reason this happens is that g is modified twice. The first time turns it into something mapping x to a , and the second time turns it into something mapping x to b . The first modification is **lost**.

This corresponds **precisely** to the syntactic characterization of β -reduction we gave a couple weeks back: $(\lambda x. \varphi) a$ β -reduces to $\varphi[x \rightarrow a]$, i.e. φ with all the **free** occurrences of x replaced with a .