

Effectful composition in natural language semantics

From Functors to Applicative Functors

Dylan Bumford (UCLA) Simon Charlow (Rutgers)

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Type-driven effectful composition

Encoding syntax and semantics

data Syn

= Leaf String
| Branch Syn Syn

data Sem

= Lex String
| Comp Mode Sem Sem

data Mode

= FA | BA
| PM -- *etc*

data Type

= E | T
| Type :-> Type

Type-driven combination at the interface

```
combine :: Type -> Type -> [(Mode, Type)]
combine l r =
  [(FA, b) | a :-> b <- [1], a == r] ++
  [(BA, b) | a :-> b <- [r], a == 1] ++
  [(PM, a :-> T) | a :-> T <- [1], b :-> T <- [r], a == b]
  -- ...
```

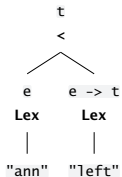
Type-driven combination at the interface

```
combine :: Type -> Type -> [(Mode, Type)]
combine l r =
  [(FA, b) | a :-> b <- [l], a == r] ++
  [(BA, b) | a :-> b <- [r], a == l] ++
  [(PM, a :-> T) | a :-> T <- [l], b :-> T <- [r], a == b]
  -- ...

synsem :: Syn -> [(Sem, Type)]
synsem (Leaf w) = [(Lex w, ty) | ty <- lex w]
synsem (Branch l r) =
  [ (Comp op lval rval, ty) | (lval, lty) <- synsem l
                              , (rval, rty) <- synsem r
                              , (op, ty) <- combine lty rty ]
```

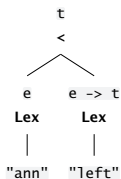
Semantic values as (syntax-homomorphic) trees

```
*TypeDriven> semTrees s0
```

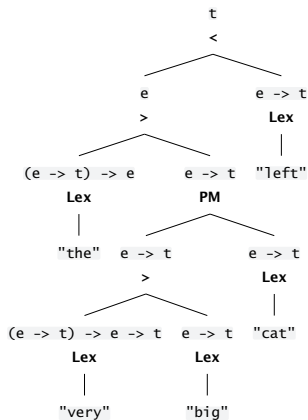


Semantic values as (syntax-homomorphic) trees

***TypeDriven>** semTrees s0



***TypeDriven>** semTrees s1



Adding effectful things to the grammar

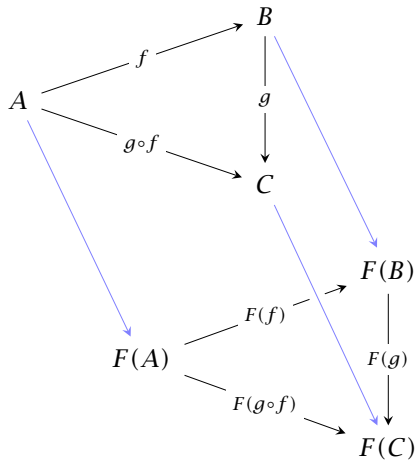
Regular types extended with effect-ful types:

```
data Type = E | T
         | Type :-> Type
         | Eff F Type
```

Some notions of effects to get us going:

```
data F = R
      | S
      | W
      | C
--      ...
```

Then extending our type-driven interpreter **just amounts to extending combine!**



Extending combine with functors

Functorial F 's don't disrupt whatever your semantics can already do:

$$\text{if } a \cdot b \Rightarrow c, \text{ then } \begin{cases} Fa \cdot b \Rightarrow & Fc \\ a \cdot Fb \Rightarrow & Fc \end{cases}$$

Extending combine with functors

Functorial F 's don't disrupt whatever your semantics can already do:

$$\text{if } a \cdot b \Rightarrow (f, c), \text{ then } \begin{cases} F a \cdot b \Rightarrow & F c \\ a \cdot F b \Rightarrow & F c \end{cases}$$

Extending combine with functors

Functorial F 's don't disrupt whatever your semantics can already do:

$$\text{if } a \cdot b \Rightarrow (f, c), \text{ then } \begin{cases} Fa \cdot b \Rightarrow (\uparrow \mathbf{R}flr := \overbrace{(\lambda l'. f l' r)}^{a \rightarrow c} \bullet l, Fc) \\ a \cdot Fb \Rightarrow (\uparrow \mathbf{L}flr := \underbrace{(\lambda r'. f l r')}_{b \rightarrow c} \bullet r, Fc) \end{cases}$$

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Ported directly to Haskell:

```
combine' :: Type -> Type -> [(Mode, Type)]
```

```
combine' l r = combine l r ++
```

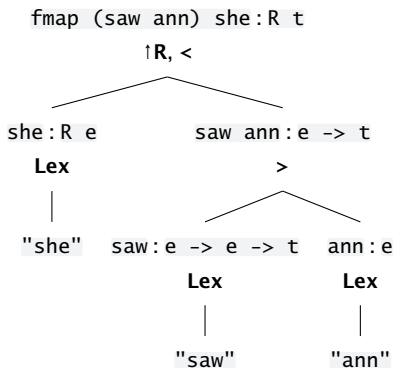
```
  [ (LR op, Eff f c) | Eff f a <- []  
    , functor f, (op, c) <- combine' a r ] ++
```

```
  [ (LL op, Eff f c) | Eff f b <- [r]  
    , functor f, (op, c) <- combine' l b ]
```

This technique was developed by Barker & Shan 2014, White et al. 2017 for parsing with continuations. But continuations are functorial, and the technique works for any functor!

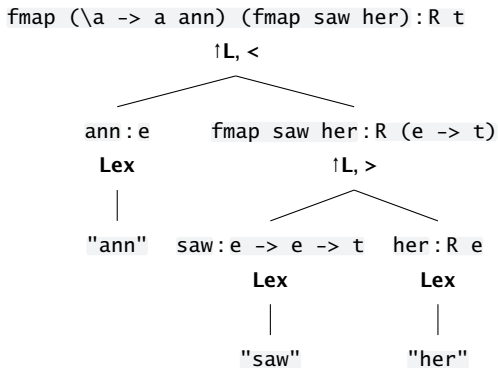
She saw Ann

*TDParse> semTrees (parse [she, saw, ann])



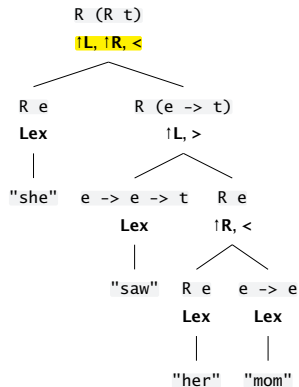
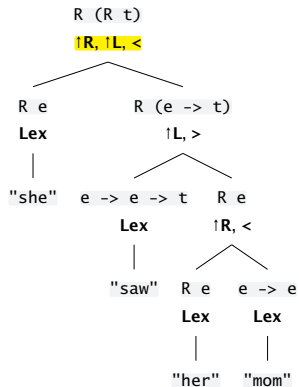
Ann saw her

```
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```

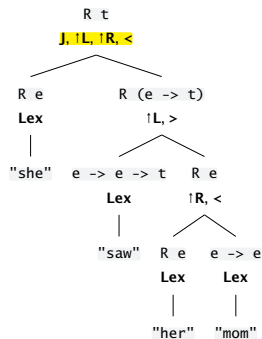
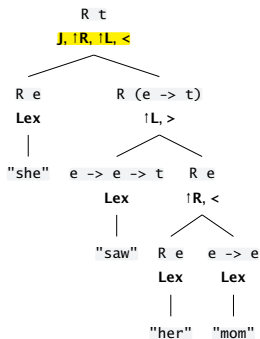
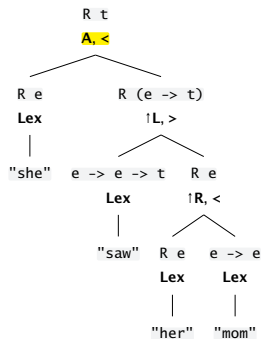


She saw her mom

*TDParse> semTrees (parse [she, saw, her, mom])



And some mysterious extras...



Some combinations

For any functors F , G , if $a \cdot b \Rightarrow c$, then:

- $a \cdot Gb \Rightarrow Gc$
- $Fa \cdot Gb \Rightarrow F(Gc)$

The reverse direction works as well:

- $Fa \cdot b \Rightarrow Fc$
- $Fa \cdot Gb \Rightarrow G(Fc)$

F and G may be the same, or different.

Comments, questions, concerns addressed

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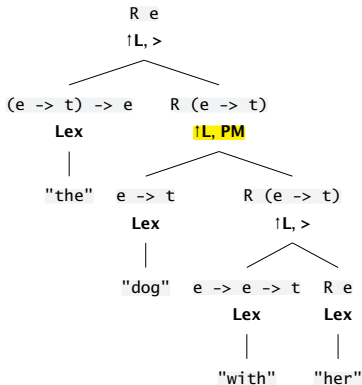
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What about PM, etc? How does (•) help us with, e.g., *dog near her*?

- Let's check...

It just works!

***TDParse**> semTrees \$ parse [the, dog, with, her]



(You could have invented) Applicative Functors!

Environment-dependence

Natural languages have free and bound pro-forms.

1. John saw her. I wouldn't _ if I were you.
2. Everybody_{*i*} did their_{*i*} homework. When I'm supposed to work_{*i*} I can't __{*i*}.

It's natural to think of the meanings of these pro-forms as living in a certain Functor representing the effect of depending on (reading from) an environment

$$\sigma ::= e \mid \mathbf{t} \mid \sigma \rightarrow \sigma \qquad \tau ::= R\sigma ::= r \rightarrow \sigma$$

And that composition in the presence of such an effect can be managed by lifting modes of composition **on demand** with `fmap`

The usual story: Heim & Kratzer (1998: 95):

This, however, is not quite the usual story...

(13) *Functional Application* (FA)

If α is a branching node and $\{\beta, \gamma\}$ the set of its daughters, then, for any assignment a , if $\llbracket \beta \rrbracket^a$ is a function whose domain contains $\llbracket \gamma \rrbracket^a$, then $\llbracket \alpha \rrbracket^a = \llbracket \beta \rrbracket^a(\llbracket \gamma \rrbracket^a)$.

(14) *Predicate Modification* (PM)

If α is a branching node and $\{\beta, \gamma\}$ the set of its daughters, then, for any assignment a , if $\llbracket \beta \rrbracket^a$ and $\llbracket \gamma \rrbracket^a$ are both functions of type $\langle e, t \rangle$, then $\llbracket \alpha \rrbracket^a = \lambda x \in D . \llbracket \beta \rrbracket^a(x) = \llbracket \gamma \rrbracket^a(x) = 1$.

In other words, the original argument-structure-driven modes of combination are **replaced** with counterparts that share environments across constituents

An environmental mode of combination

“Function Application”

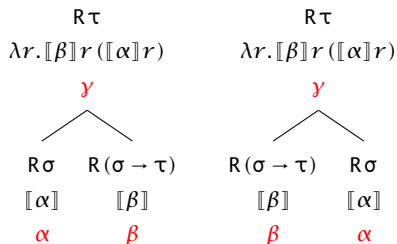
If a node y has two daughters

1. α of type $R(\sigma \rightarrow \tau)$, and
2. β of type $R\sigma$,

then y has type $R\tau$, and

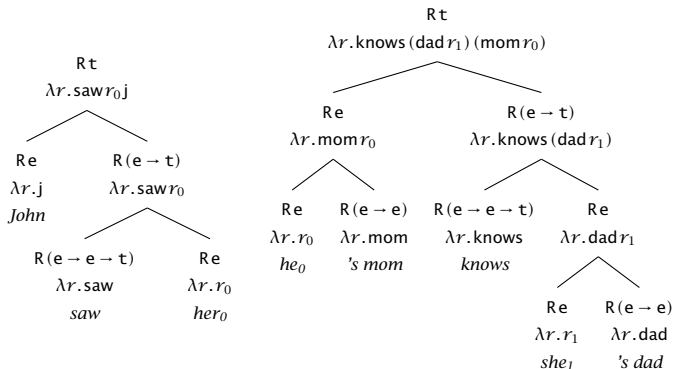
$$[[y]] := \lambda r. \underbrace{[[\alpha]]r}_{R(b \rightarrow c)} \underbrace{[[\beta]]r}_{Rb}$$

Rc



In any derivation with **any** pro-form, **every** expression will have to be made environment-sensitive, a kind of **generalization to the worst case**

Environment sharing in action



(Apply the result to a salient environment.)

Pulling out what matters

Key features of the standard approach to environment-dependence:

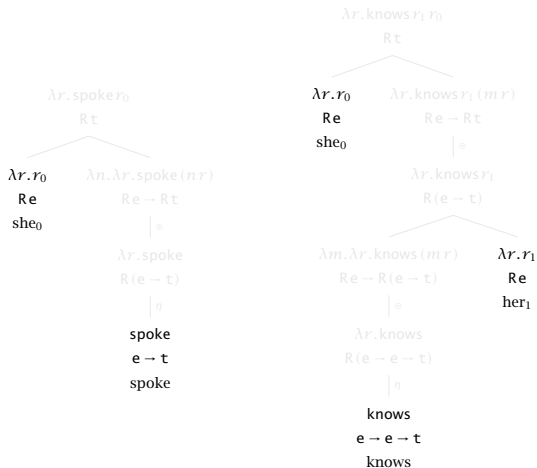
- Uniformity: everything depends on an environment (many things trivially).
- Enriched composition: $[\cdot]$ stitches environment-relative meanings together.

Here's another possibility: abstract out these key pieces, apply them on demand.

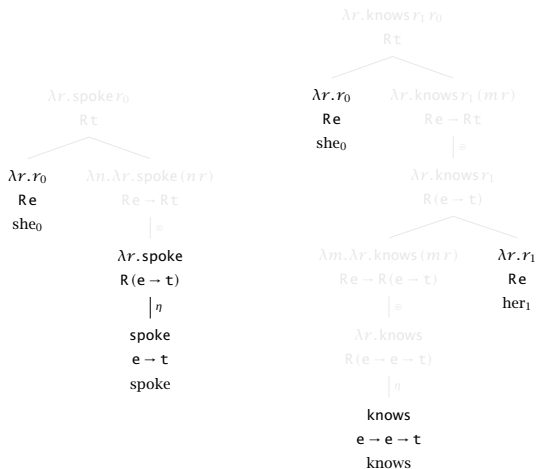
$$\underbrace{\eta x := \lambda r. x}_{\text{cf. } [\text{John}] := \lambda r. j} \qquad \underbrace{m \circledast n := \lambda r. m r (n r)}_{\text{cf. } [\alpha \beta] := \lambda r. [\alpha] r ([\beta] r)}$$

In terms of types, $\eta :: a \rightarrow R a$, and $\circledast :: R(a \rightarrow b) \rightarrow R a \rightarrow R b$.

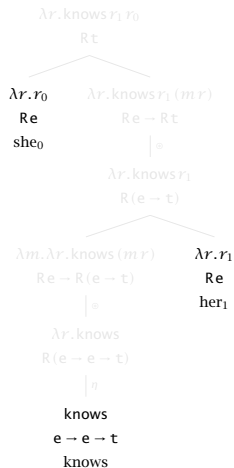
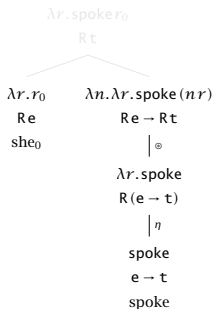
A couple examples



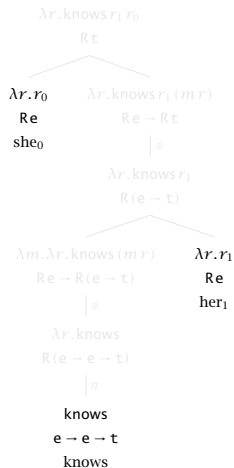
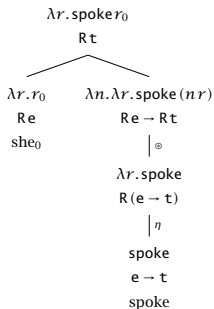
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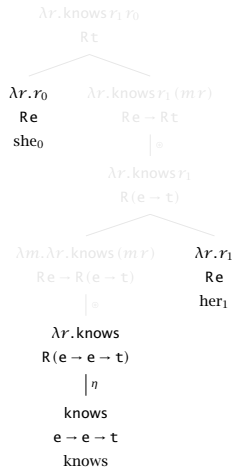
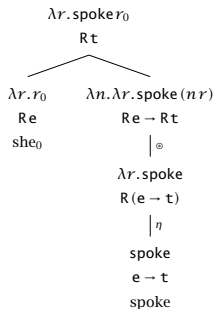
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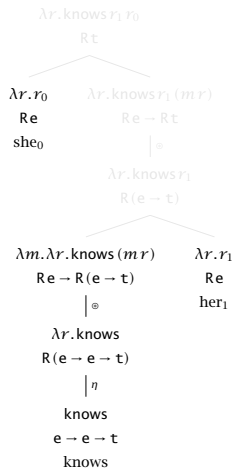
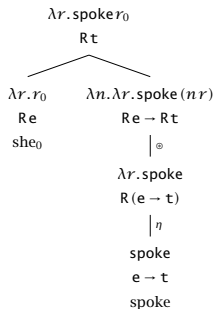
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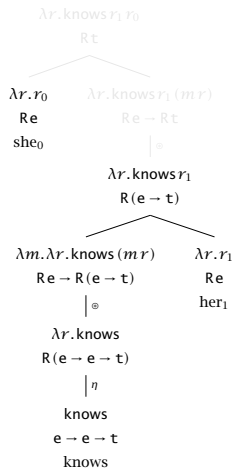
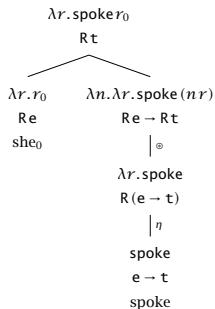
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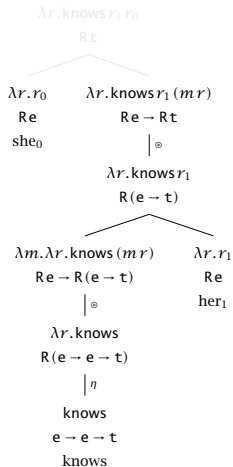
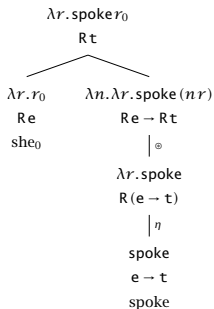
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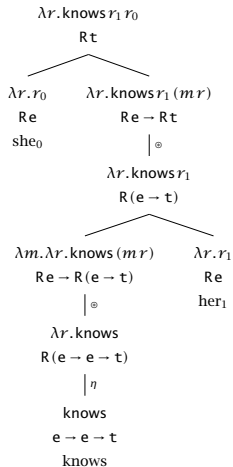
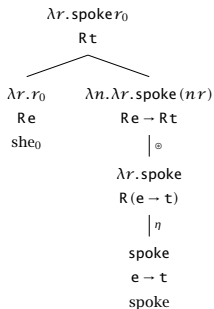
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Applicatives

R's η and \odot make it an **Applicative Functor** (McBride & Paterson 2008, Kiselyov 2015). A type constructor F is applicative if it supports η and \odot with these types...

$$\eta :: a \rightarrow F a \qquad \odot :: F (a \rightarrow b) \rightarrow F a \rightarrow F b$$

...Where η is a **trivial** way to inject something into the richer type characterized by F , and \odot is function application **lifted** into F ...

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Homomorphism

$$\eta f \odot \eta x = \eta (f x)$$

Identity

$$\eta (\lambda x. x) \odot v = v$$

Interchange

$$\eta (\lambda f. f x) \odot u = u \odot \eta x$$

Composition

$$\eta (\circ) \odot u \odot v \odot w = u \odot (v \odot w)$$

Applicatives in Haskell

```
class Functor f where
```

```
  fmap :: (a -> b) -> f a -> f b
```

```
class Functor f => Applicative f where
```

```
  pure  :: a -> f a
```

```
  (<*>) :: f (a -> b) -> f a -> f b
```

The compiler will ensure that the operations you provide are appropriately typed, but it's your job to make sure they're well-behaved.

Nondeterminism¹

It's common to treat question meanings as sets of possible answers:

3. **Who** ate the ham? $\rightsquigarrow \{\text{ate } h x \mid x \in \text{human}\} :: S t$
4. **Who** ate **what**? $\rightsquigarrow \{\text{ate } y x \mid x \in \text{human}, y \in \text{thing}\} :: S t$

Naturally handled using another applicative functor, for sets::

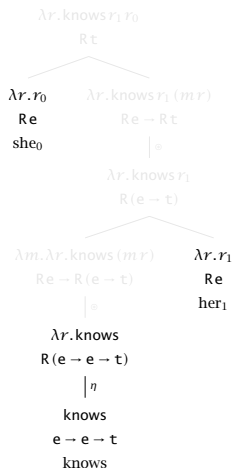
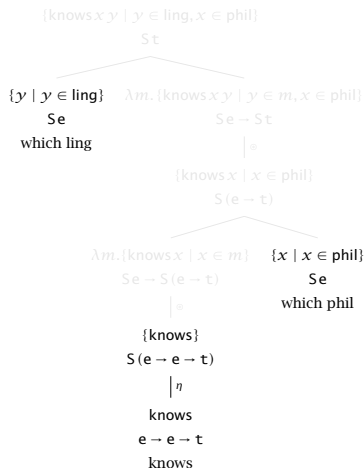
$$\underbrace{\eta x := \{x\}}_{\eta :: a \rightarrow S a} \quad \underbrace{m \otimes n := \{f x \mid f \in m, x \in n\}}_{\otimes :: S(a \rightarrow b) \rightarrow S a \rightarrow S b}$$

Nondeterministic meanings also evident in:

5. You may eat an apple **or** a pear. \models You may eat an apple.
Mail the letter. $\not\models$ Mail **or** burn the letter.
6. Take **a card**. Place **it** on the bottom of the deck.

¹ Cf. Hamblin 1973, Shan 2001, Charlow 2014, 2020.

Sample derivation, compared with environment-sensitivity



Supplementation²

Some expressions contribute information in a secondary “not-at-issue” register:

7. Joe, a linguist, lectured. $\rightsquigarrow (\text{lecturedj}, [\text{lingj}]) :: \text{Wt}$
8. Joe, a linguist, knows Mary, a philosopher. $\rightsquigarrow (\text{knowsmj}, [\text{lingj}, \text{phil m}]) :: \text{Wt}$
9. Polly hasn't read **W&P**, which is a classic. $\rightsquigarrow (\neg \text{read w\&p}, [\text{classic w\&p}]) :: \text{Wt}$

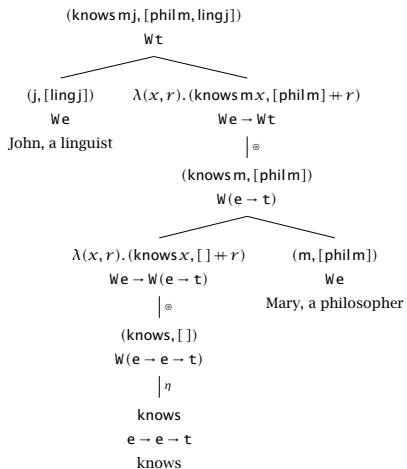
Another example of an applicative functor, for supplements:

$$\underbrace{\eta x := (x, [])}_{\eta :: a \rightarrow \text{Wa}} \quad \underbrace{(f, l) \odot (x, r) := (f x, l \# r)}_{\odot :: \text{W}(a \rightarrow b) \rightarrow \text{Wa} \rightarrow \text{Wb}}$$

In fact, pairs are applicative whenever the second element is **monoidal**. Why?

²Cf. Potts (2005), Giorgolo & Asudeh (2012), and AnderBois, Brasoveanu & Henderson (2015).

Sample derivation: Supplementation



Intonational focus

Contrastive focus invokes **alternatives** to what was said:

10. I only introduced {Jennifer, JENNIFER} to {Bill, BILL}.

11. Who did you introduce Jennifer to?

I introduced Jennifer (not JENNIFER) to BILL (not Bill).

Here, $F a ::= a \times S a$, with the following applicative operations (Rooth 1985):

$$\eta x := (x, \{x\}) \quad (f, S) \odot (x, T) := (f x, \{s t \mid s \in S, t \in T\})$$

Using this applicative, we can derive the following meanings:

12. I introduced JENNIFER to Bill. $\rightsquigarrow \{\text{intro } x \text{ bi} \mid x \in \text{alt}_j\}$

13. I introduced Jennifer to BILL. $\rightsquigarrow \{\text{intro } j \text{ yi} \mid y \in \text{alt}_b\}$

14. I introduced JENNIFER to BILL. $\rightsquigarrow \{\text{intro } x \text{ yi} \mid x \in \text{alt}_j, y \in \text{alt}_b\}$

Scope and continuations

Languages have quantificational expressions, and they take scope:

15. Every lecturer presented in a room on the third floor.

$\rightsquigarrow \forall (\lambda x. \exists (\lambda y. \text{pres } y x))$

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The relevant enrichment handles expressions with a scope (continuation):³

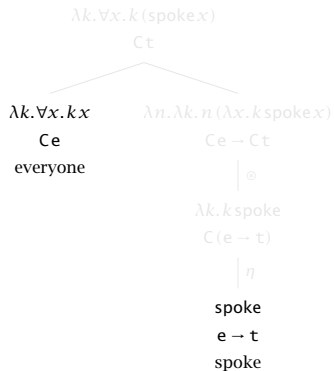
$$C a ::= (a \rightarrow \mathbf{t}) \rightarrow \mathbf{t} \quad \forall, \exists ::= C e = (e \rightarrow \mathbf{t}) \rightarrow \mathbf{t}$$

Yet another example of an applicative functor, for scope (continuations):

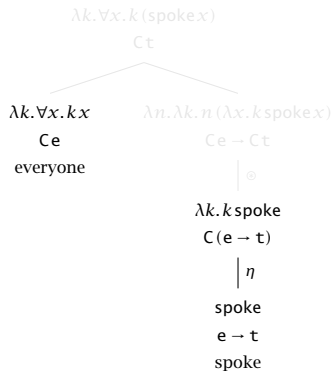
$$\eta x := \lambda k. k x \quad m \odot n := \lambda k. m (\lambda f. n (\lambda x. k (f x)))$$

³Shan (2001), Barker (2002), Shan & Barker (2006), Barker & Shan (2008, 2014), and Charlow (2014).

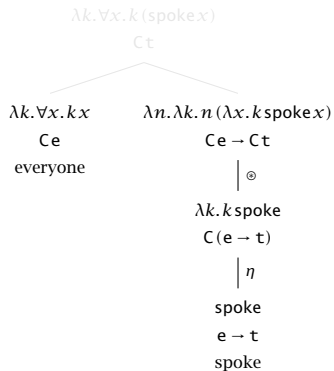
Sample derivation: Scope



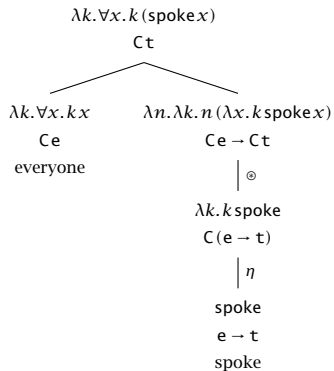
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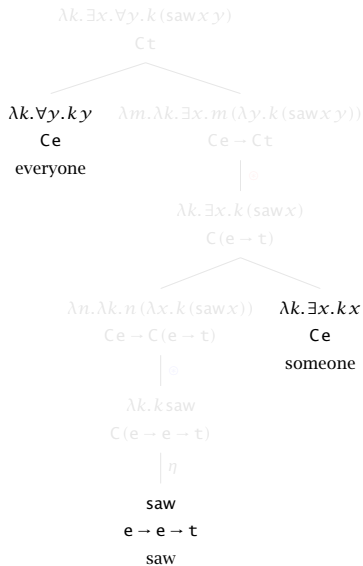
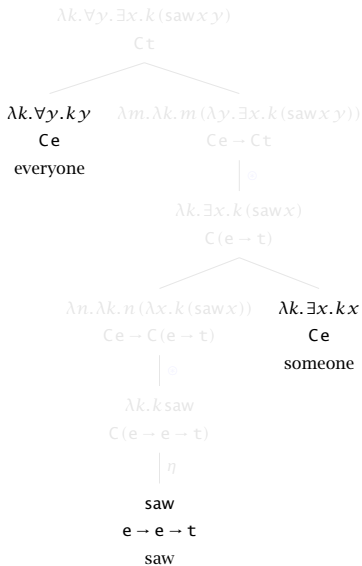


Scope alternations via flexibility in \odot

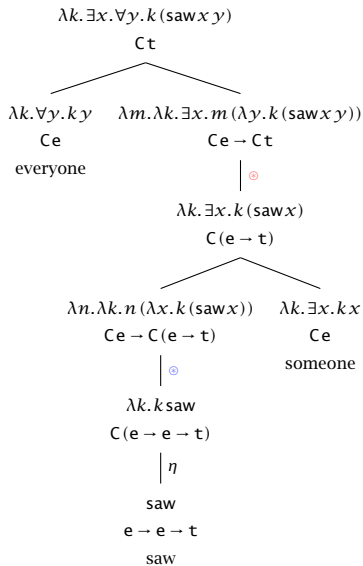
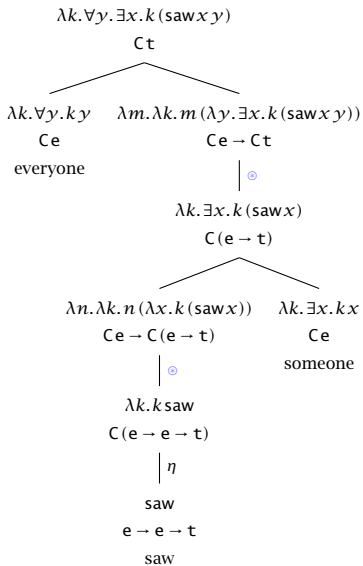
The Continuations applicative is **non-commutative** in that it admits two \odot 's which evaluate their arguments in opposite orders.

$$\eta x := \lambda k. k x$$
$$m \odot n := \lambda k. \underbrace{m (\lambda f. n (\lambda x. k (f x)))}_{\text{function-first}}$$
$$m \odot n := \lambda k. \underbrace{n (\lambda x. m (\lambda f. k (f x)))}_{\text{argument-first}}$$

A couple examples



A couple examples



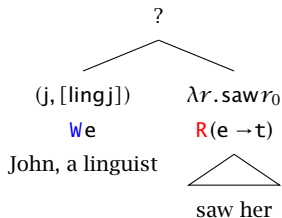
Corresponding notions in programming

- Pronouns and pronominal binding
- Questions/'inquisitive' meanings
- Focus
- Presupposition
- Supplemental content
- Quantification
- Variable management
- Nondeterministic computation
- Cellular automata
- Throwing and catching errors
- Logging/execution traces
- Control flow (jumps, aborts, loops)

Reading and Writing: A case study in composition

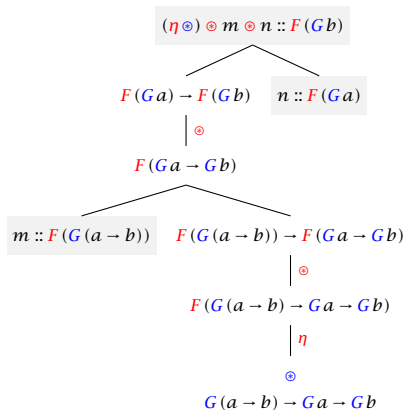
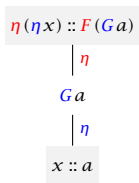
Simultaneous applicative effects

How to combine expressions from **different applicative** effect regimes?



Let's not hand-roll new modes of combination for every combination of effects!

Applicative functors compose, too!



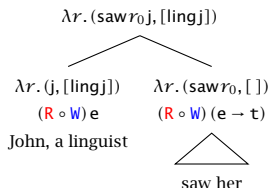
Ross Paterson's `Data.Functor.Compose` (on Hackage)

```
module Data.Functor.Compose (  
    Compose(..),  
) where  
  
newtype Compose f g a = Compose { getCompose :: f (g a) }  
  
instance (Applicative f, Applicative g) =>  
    Applicative (Compose f g) where  
    pure x = Compose (pure (pure x))  
    Compose f <*> Compose x = Compose ((<*>) <$> f <*> x)
```

Composition with composition

Here's what we get for the composition of **R** and **W**, $(R \circ W) a = r \rightarrow (a, [\tau])$:

$$\eta x := \lambda r. (x, []) \quad m \odot n := \lambda r. (f x, j ++ k) \text{ where } (f, j) := m r \\ (x, k) := n r$$



$R \circ W$ also implies ways to lift $R a$ and $W a$ into $(R \circ W) a$. Exercise: find them!

Some more composed applicatives⁴

Whenever F and G are applicative, $F \circ G$ is too. Here, for $\mathbf{R} \circ \mathbf{S}$:

$$\begin{aligned}\eta x &:= \lambda r. \{x\} & m \circledast n &:= \lambda r. \{f x \mid f \in m r, x \in n r\} \\ &= \eta(\eta x) & &= (\eta \circledast) \circledast m \circledast n\end{aligned}$$

And here, for $\mathbf{S} \circ \mathbf{R}$:

$$\begin{aligned}\eta x &:= \{\lambda r. x\} & m \circledast n &:= \{\lambda r. f r (x r) \mid f \in m, x \in n\} \\ &= \eta(\eta x) & &= (\eta \circledast) \circledast m \circledast n\end{aligned}$$

⁴Cf. Rooth (1985), Kratzer & Shimoyama (2002), Romero & Novel (2013), and Charlow (2020).

Composing reading and writing actions

The reader/writer composition, with an entity-log:

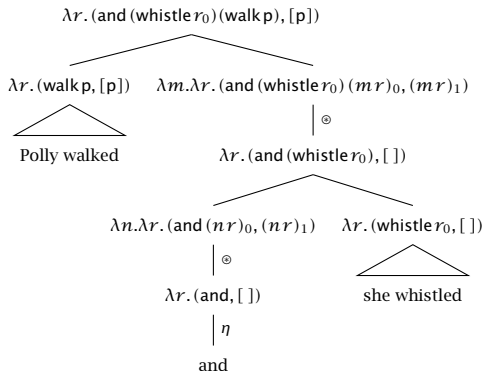
$$(\mathbf{R} \circ \mathbf{W}) a ::= r \rightarrow (a, [e])$$

And the corresponding η and \odot operations again:

$$\eta x := \lambda r. (x, []) \quad m \odot n := \lambda r. (f x, j ++ k) \text{ \textbf{where} } (f, j) := m r \\ (x, k) := n r$$

Not quite what we're after: the modified state output by m is not passed in to n .

Failure to communicate



The pronoun Reads and the proper name Writes, but they don't coordinate.

Another method of effect composition

But this nevertheless seems like the right structure to manage this sort of effect, and in fact, there is a **second** applicative for this type.

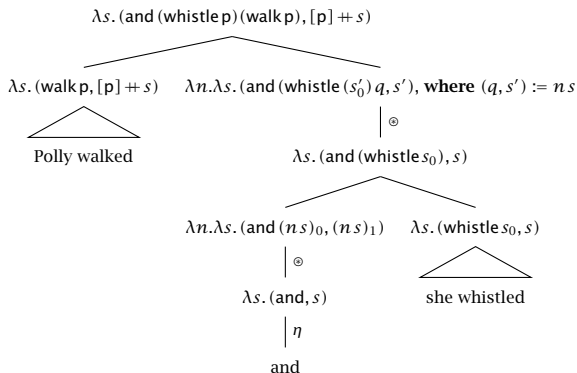
The State applicative: $\text{ST } a ::= s \rightarrow (a, s)$

$$\eta x := \lambda s. (x, s) \qquad m \circledast n := \lambda s. (f x, s'') \textbf{ where } (f, s') = m s \\ (x, s'') = n s'$$

$$\eta x = \lambda r. (x, []) \qquad m \circledast n = \lambda r. (f x, j + k) \textbf{ where } (f, j) := m r \\ (x, k) := n r$$

Crucially, the modified state s' is passed into n .

Successful communication



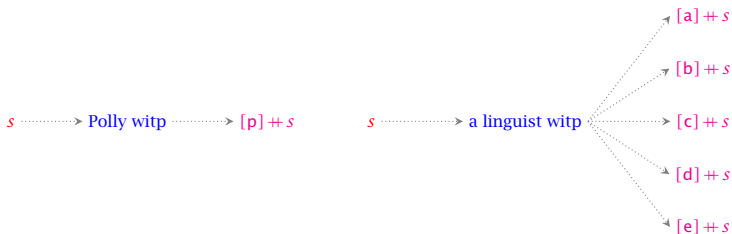
The proper name Writes something the pronoun Reads.

Indefinites, interleaving another effect

Indefinites combine reading and writing with **nondeterminism**:⁵

17. Polly walked in the park. She whistled.

18. A linguist walked in the park. She whistled.



The nondeterministic state applicative, $D a ::= s \rightarrow S(a \times s)$:

$$\eta x := \lambda s. \{(x, s)\} \quad m \otimes n := \lambda s. \{(f x, s'') \mid (f, s') \in m s, (x, s'') \in n s'\}$$

⁵ Heim (1982), Barwise (1987), Rooth (1987), Groenendijk & Stokhof (1991), and Muskens (1996), etc.

Semantic parsing with applicatives

There is almost nothing more to say

Extending combine with **applicatives**

$$\text{if } a \cdot b \Rightarrow c, \text{ then } \begin{cases} Fa \cdot b \Rightarrow & Fc \\ a \cdot Fb \Rightarrow & Fc \\ Fa \cdot Fb \Rightarrow & Fc \end{cases}$$

Extending combine with **applicatives**

$$\text{if } a \cdot b \Rightarrow (f, c), \text{ then } \begin{cases} Fa \cdot b \Rightarrow & Fc \\ a \cdot Fb \Rightarrow & Fc \\ Fa \cdot Fb \Rightarrow & Fc \end{cases}$$

Extending combine with **applicatives**

$$\text{if } a \cdot b \Rightarrow (f, c), \text{ then } \begin{cases} Fa \cdot b \Rightarrow (\uparrow \mathbf{R}flr := (\lambda l'. fl'r) \bullet l, Fc) \\ a \cdot Fb \Rightarrow (\uparrow \mathbf{L}flr := (\lambda r'. flr') \bullet r, Fc) \\ Fa \cdot Fb \Rightarrow Fc \end{cases}$$

Extending combine with **applicatives**

$$\text{if } a \cdot b \Rightarrow (f, c), \text{ then } \begin{cases} Fa \cdot b \Rightarrow (\uparrow \mathbf{R} flr := (\lambda l'. fl' r) \bullet l, Fc) \\ a \cdot Fb \Rightarrow (\uparrow \mathbf{L} flr := (\lambda r'. flr') \bullet r, Fc) \\ Fa \cdot Fb \Rightarrow (\mathbf{A} flr := f \bullet l \otimes r, Fc) \end{cases}$$

Extending combine with applicatives

$$\text{if } a \cdot b \Rightarrow (f, c), \text{ then } \begin{cases} Fa \cdot b \Rightarrow (\uparrow R f l r := (\lambda l'. f l' r) \bullet l, Fc) \\ a \cdot Fb \Rightarrow (\uparrow L f l r := (\lambda r'. f l r') \bullet r, Fc) \\ Fa \cdot Fb \Rightarrow (A f l r := f \bullet l \otimes r, Fc) \end{cases}$$

Ported directly to Haskell, again:

```
combine' :: Type -> Type -> [(Mode, Type)]
```

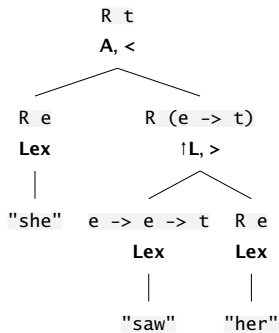
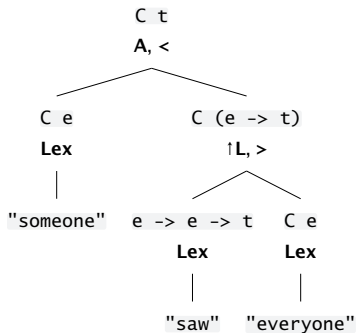
```
combine' l r = combine l r ++
```

```
  [ (LR op, Eff f c) | Eff f a <- [l]
    , functor f, (op, c) <- combine' a r ] ++
```

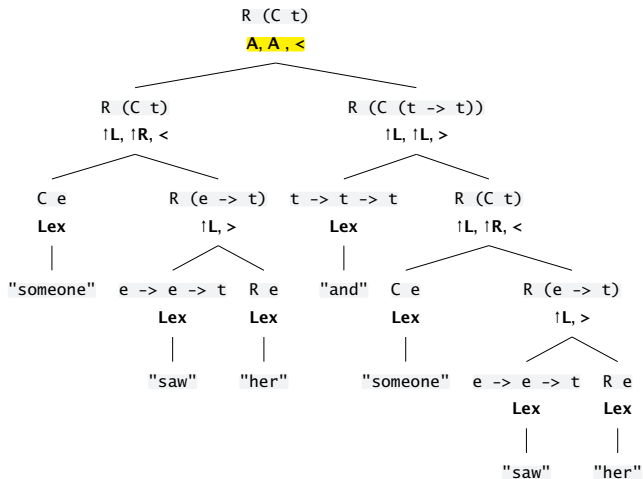
```
  [ (LL op, Eff f c) | Eff f b <- [r]
    , functor f, (op, c) <- combine' l b ] ++
```

```
  [ (A op, Eff f c) | Eff f a <- [l], Eff g b <- [r], f == g
    , applicative f, (op, c) <- combine' l b ]
```


Deriving regular-order meanings using A



Composition of applicatives



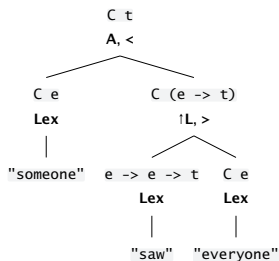
A few words on continuations

Two types of **A**'s entertained earlier for **C**: function- or argument-first. What happens here?

- If $a \cdot b \Rightarrow (f, c)$, $Fa \cdot Fb \Rightarrow (\mathbf{A}flr := f \bullet l \otimes r)$

For **C** (with function-first \otimes) this gives $l \gg r$. There's systematic linear bias in composition!

- $\mathbf{A}flr \underset{\mathbf{C}}{\rightsquigarrow} \lambda k.l(\lambda l'.r(\lambda r'.fl'r'))$



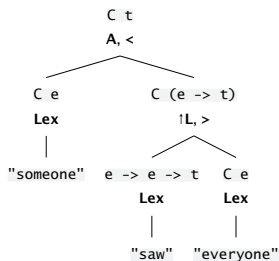
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- $\mathbf{A}flr \underset{\mathbf{C}}{\rightsquigarrow} \lambda k.l(\lambda l'.r(\lambda r'.fl'r'))$



What about inverse scope? It arises in **higher-order** (functorial) derivations. These:

- May be dispreferred relative to regular order (cf. Partee & Rooth 1983)
- Can certainly be distinguished from regular order; beneficial for xover etc

Future work

Ultimately, we are hand-rolling much of what the Haskell compiler already does so well (and in a less type-safe way). It would be preferable to not re-invent the wheel.

There are inefficiencies in the naive version of applicative parsing sketched here. Partially remedied w/a notion of normal form derivations (White et al. 2017).

- Neural parsing achieves state-of-the-art accuracy and speed without dynamic programming (Lee, Lewis & Zettlemoyer 2016). Something else to try.

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